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APPLICANT FACSIMILE OF FORM PTO-1449  
REV 7-80

U.S. DEPARTMENT OF  
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ATTY DOCKET NO.  
GNN-014CP

SERIAL NO.  
097835,297

APPLICANT  
Bluestone, Jeffrey et al.

FILING DATE  
April 12, 2001

GROUP  
1645

Sheet 1 of 1

LIST OF PUBLICATIONS CITED BY APPLICANT  
(Use several sheets if necessary)

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## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE  | NAME          | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|----|-----------------|-------|---------------|-------|----------|-------------------------------|
| J.O.                | A1 | 5,807,734       | 09/98 | Diegel et al. | 435   | 252.33   |                               |
| J.O.                | A2 | 5,962,320       | 10/99 | Robinson      | 435   | 366      |                               |

## FOREIGN PATENT DOCUMENTS

|      |    | DOCUMENT NUMBER   | DATE  | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES NO |
|------|----|-------------------|-------|---------|-------|----------|-----------------------|
| J.O. | A3 | WO 99/57266 A2,A3 | 11/99 | PCT     |       |          |                       |

## OTHERS (including Author, Title, Date, Pertinent Pages, Etc.)

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|------|-----|---|
| J.O. | A4  | Connelly, R.J. et al. "Mitogenic properties of a bispecific single-chain Fv-Ig fusion generated from CD2-specific mAb to distinct epitopes" <i>International Immunology</i> 10(12):1863-1872 (1998);                          |
|      | A5  | Gilliland, L.K. et al. "Rapid and reliable cloning of antibody variable regions and generation of recombinant single chain antibody fragments" <i>Tissue Antigens</i> 47:1-20 (1996);   |
|      | A6  | Griffin, M.D. et al. "Inhibition of Alloantigen-Specific CD8+ T Cell Activation by a Surface-Linked Anti-CTLA-4 Single Chain Antibody" <i>J. Am. Soc. Nephrol.</i> 10:510A, Abstr. A2579 (1999);                              |
|      | A7  | Griffin, M.D. et al. "Comparative Analysis of the CD28 and 4-1BB Co-Stimulatory Pathways Using a Novel Artificial APC System" <i>J. Am. Soc. Nephrol.</i> 10:510A, Abstr. A2580 (1999);                                       |
|      | A8  | Griffin, M.D. et al. "Blockade of T Cell Activation Using a Surface-Linked Single-Chain Antibody to CTLA-4 (CD152)" <i>The Journal of Immunology</i> 164:4433-4442 (2000);  |
|      | A9  | Hayden, M.S. et al. "Single-chain mono- and bispecific antibody derivatives with novel biological properties and antitumor activity from a COS cell transient expression system" <i>Therapeutic Immunology</i> 1:3-15 (1994); |
|      | A10 | Hayden, M.S. et al. "Costimulation by CD28 sFv expressed on the tumor cell surface or as a soluble bispecific molecule targeted to the L6 carcinoma antigen" <i>Tissue Antigens</i> 48:242-254 (1996);                        |
|      | A11 | Hayden, M.S. et al. "Antibody engineering" <i>Current Opinion in Immunology</i> 9:201-212 (1997);   |
|      | A12 | Malde, P. et al. "Reversal of costimulation by cell surface expression of an anti-CD152 single chain antibody" <i>Immunol.</i> 98(supp.1):17 (1999);  |
| J.O. | A13 | Winberg, G. et al. "Surface Expression of CD28 Single Chain Fv for Costimulation by Tumor Cells" <i>Immunologic Reviews</i> 153:210-223 (1996).   |
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Examiner Ilin Ouspensky Date Considered 09/13/2004

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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